

04/28/99



Jc654 U.S. PTO

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PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 14090/40006

Total Pages 31

First Named Inventor or Application Identifier

Cook, et al.

Express Mail Label No. EL246311605US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

2. ☒ Specification [Total Pages 15]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) [Total Sheets 5]

4. ☒ Oath or Declaration [Total Pages 3]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)

[Note Box 5 below]

i. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation by Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from
which a copy of the oath or declaration is
supplied under Box 4b, is considered as being
part of the disclosure of the accompanying
application and is hereby incorporated by
reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☒ Small Entity ☐ Statement filed in prior application.
Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:

18. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

Insert Customer No. or Attach bar code label here)

NAME Cumpston & Shaw

ATTN: John E. Thomas

ADDRESS Two State Street, Suite 850

CITY Rochester

STATE New York

ZIP CODE 14614

COUNTRY United States

TELEPHONE (716) 325-5553

FAX (716) 262-3906

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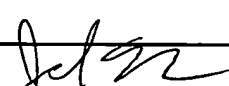
Jc135 U.S. PTO
09/30/03

04/28/99

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FEE TRANSMITTAL <i>Note: Effective October 1, 1997. Patent fees are subject to annual revision.</i>		Complete If Known	
		Application Number	
		Filing Date	
		First Named Inventor	Cook, et al.
		Group Art Unit	
		Examiner Name	
TOTAL AMOUNT OF PAYMENT	\$585	Attorney Docket Number	14090/40006

METHOD OF PAYMENT (check one)				3. ADDITIONAL FEES																																												
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 03-3875 Deposit Account Name: Cumpston & Shaw <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance				Fee Description Fee Code Fee Paid <input type="checkbox"/> Surcharge - late filing fee or oath 205 \$0.00 <input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet. 227 \$0.00 <input type="checkbox"/> Non-English specification 139 \$0.00 <input type="checkbox"/> For filing a request for reexamination 147 \$0.00 <input type="checkbox"/> Requesting publication of SIR prior to Examiner action * 112 \$0.00 <input type="checkbox"/> Requesting publication of SIR after Examiner action * 113 \$0.00 <input type="checkbox"/> Extension for reply within first month 215 \$0.00 <input type="checkbox"/> Extension for reply within second month 216 \$0.00 <input type="checkbox"/> Extension for reply within third month 217 \$0.00 <input type="checkbox"/> Extension for reply within fourth month 218 \$0.00 <input type="checkbox"/> Extension for reply within fifth month 228 \$0.00 <input type="checkbox"/> Notice of Appeal 219 \$0.00 <input type="checkbox"/> Filing a brief in support of an appeal 220 \$0.00 <input type="checkbox"/> Request for oral hearing 221 \$0.00 <input type="checkbox"/> Petition to institute a public use proceeding 138 \$0.00 <input type="checkbox"/> Petition to revive - unavoidable 240 \$0.00 <input type="checkbox"/> Petition to revive - unintentional 241 \$0.00 <input type="checkbox"/> Utility issue fee (or reissue) 242 \$0.00 <input type="checkbox"/> Design issue fee 243 \$0.00 <input type="checkbox"/> Plant issue fee 244 \$0.00 <input type="checkbox"/> Petitions to the Commissioner 122 \$0.00 <input type="checkbox"/> Petitions related to provisional applications 123 \$0.00 <input type="checkbox"/> Submission of Information Disclosure Stmt 126 \$0.00 <input checked="" type="checkbox"/> Recording each patent assignment per property 581 \$40.00 Number of properties 1 <input type="checkbox"/> Filing a submission after final rejection (37 CFR 1.129(a)) 246 \$0.00 <input type="checkbox"/> For each additional invention to be examined (37 CFR 1.129(b)) 249 \$0.00 Other Fee (specify): Other Fee (specify):																																												
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1. FILING FEE <table style="width: 100%;"> <tr> <th style="text-align: left;">Fee Description</th> <th style="text-align: left;">Fee Code</th> <th style="text-align: left;">Fee Paid</th> </tr> <tr> <td><input checked="" type="checkbox"/> Utility filing fee</td> <td>201</td> <td>\$380.00</td> </tr> <tr> <td><input type="checkbox"/> Design filing fee</td> <td>206</td> <td>\$0.00</td> </tr> <tr> <td><input type="checkbox"/> Plant filing fee</td> <td>207</td> <td>\$0.00</td> </tr> <tr> <td><input type="checkbox"/> Reissue filing fee</td> <td>208</td> <td>\$0.00</td> </tr> <tr> <td><input type="checkbox"/> Provisional filing fee</td> <td>214</td> <td>\$0.00</td> </tr> <tr> <td colspan="2" style="text-align: right;">SUBTOTAL (1)</td> <td>\$380.00</td> </tr> </table>				Fee Description	Fee Code	Fee Paid	<input checked="" type="checkbox"/> Utility filing fee	201	\$380.00	<input type="checkbox"/> Design filing fee	206	\$0.00	<input type="checkbox"/> Plant filing fee	207	\$0.00	<input type="checkbox"/> Reissue filing fee	208	\$0.00	<input type="checkbox"/> Provisional filing fee	214	\$0.00	SUBTOTAL (1)		\$380.00																								
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2. CLAIMS <table style="width: 100%;"> <tr> <th></th> <th style="text-align: left;">Number Filed</th> <th style="text-align: left;">Number Extra</th> <th style="text-align: left;">Fee</th> <th style="text-align: left;">Fee Paid</th> </tr> <tr> <td>Total Claims</td> <td>34</td> <td>14</td> <td>\$9</td> <td>\$126</td> </tr> <tr> <td>Independent Claims</td> <td>4</td> <td>1</td> <td>\$39</td> <td>\$39</td> </tr> <tr> <td>Multiple Dependent Claims</td> <td></td> <td>0</td> <td>\$41</td> <td>\$0</td> </tr> </table> <table style="width: 100%;"> <tr> <th style="text-align: left;">Fee Description</th> <th style="text-align: left;">Fee Code</th> <th style="text-align: left;">Fee</th> </tr> <tr> <td>Claims in excess of 20</td> <td>203</td> <td>\$11.00</td> </tr> <tr> <td>Independent claims in excess of 3</td> <td>202</td> <td>\$41.00</td> </tr> <tr> <td>Multiple dependent claims</td> <td>204</td> <td>\$135.00</td> </tr> <tr> <td>Reissue independent claims over original patent</td> <td>209</td> <td>\$41.00</td> </tr> <tr> <td>Reissue claims in excess of 20 and over original patent</td> <td>210</td> <td>\$11.00</td> </tr> <tr> <td colspan="2" style="text-align: right;">SUBTOTAL (2)</td> <td>\$165.00</td> </tr> </table>					Number Filed	Number Extra	Fee	Fee Paid	Total Claims	34	14	\$9	\$126	Independent Claims	4	1	\$39	\$39	Multiple Dependent Claims		0	\$41	\$0	Fee Description	Fee Code	Fee	Claims in excess of 20	203	\$11.00	Independent claims in excess of 3	202	\$41.00	Multiple dependent claims	204	\$135.00	Reissue independent claims over original patent	209	\$41.00	Reissue claims in excess of 20 and over original patent	210	\$11.00	SUBTOTAL (2)		\$165.00	* Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$40.00			
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SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	John E. Thomas			Reg. Number	34,070
Signature		Date	4/28/99	Deposit Account User ID	03-3875

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Date of Deposit:: April 28, 1999

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Deborah S. Wasserman

